PTO-1390 (Rev. 07-2004)

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 129402.00201 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPAIC **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 1.5) INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 16 April 2003 18 April 2002 (18.04.2002) PCT/EP03/04003 05 December 2002 (05.12.2002) TITLE OF INVENTION Means and Methods for the Specific Modulation of Target Genes in the CNS and the Eye and Methods for their Identification APPLICANT(S) FOR DO/EO/US Karina Drumm, Stefan Hubert Schlör and Frank Göhring Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. A This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). € 🖾 A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. \( \sigma\) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. $\square$ is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made, however, the time limit for making such amendments has NOT expired. d. 🛮 have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (an by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

19. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

18. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

16. A power of attorney and/or change of address letter.

20. A Other items or information: Certificate of Mailing; Postcard

PTO-1390 (Rev. 07-2004)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

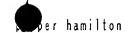
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

S. APPLICATION NO. (if known, see 32-CFR) INTERNATIONAL APPLICATION NO.

1.5) <b>1 0 5</b>	11657	PCT/EP03/04003			129402.00201	
21. A The following fee	. ☑ The following fees are submitted:				CALCULATIONS	PTO USE ONLY
BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO						
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00						
International preliminary examination fee (37 CFR 1.482 not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO						
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)						
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)						
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$950.00	
	furnishing the oath or declarat priority date (37 CFR 1.492(e)				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE		
Total claims	90-20 =	70	Х	\$18.00	\$1260.00	<u></u>
Independent claims	2-3 =	0	X	\$88.00	\$0.00	
MULTIPLE DEPENDEN	NT CLAIM(S) (if applicable)		+	\$300.00	\$300.00	
		TOTAL OF ABOV			\$2640.00	
Applicant claims sma	ll entity status. See 37 1.27. T	The fees indicated above a			\$1320.00	<u> </u>
SUBTOTAL =					\$1320.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					\$	
	· · · · · · · · · · · · · · · · · · ·	тот	AL NATI	ONAL FEE =	\$1320.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$	
					Amount to be refunded:	S
					Amount to be charged:	s
a. A check in the amo	ount of \$_1320.00	to cover the abo	ve fees is e	nclosed.		
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.						
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0436 A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on t his form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be sited and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Pepper Hamilton LLP						
500 Grant Street, 50th Flo	oor	Raymond A. Miller	· · · · · · · · · · · ·			
Pittsburgh, PA 15219 Telephone: (412) 454-50	000	NAME				
Facsimile: (412) 281-0717 42,891						<u>.</u>
REGISTRATION NUMBER						

FORM PTO-1390 (REV.7-2004)

Page 2 of 2



RECEIVED **CENTRAL FAX C** 

No. 6276 P. 18/18

MAR 0 8 2005

Attorney Ref.: 129402.00201

## CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. §1.6 & §1.8

In re Application of

Drumm et al.

Serial No.:

10/511,657

Group Art Unit: not yet assigned

International Filing Date: October 18, 2004:

Examiner: not yet assigned

Title: MEANS AND METHODS FOR THE SPECIFIC MODULATION OF TARGET

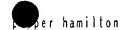
GENES IN THE CNS AND THE EYE AND METHODS FOR THEIR

**IDENTIFICATION** 

I hereby certify that this "AMENDMENT AND RESPONSE TO OFFICE ACTION" and is being sent via facsimile to fax number 703-872-9306 under 37 C.F.R. §1.6 & §1.8 on March 8, 2005.

(Typed/printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



## RECEIVED CENTRAL FAX CENTER

No. 6276 P. 1/18

Pepper Hamilto

MAR 0 8 2005

50th Floor 500 Grant Street Pittsburgh, PA 15219-2502 412.454.5000 Fax 412.281.0717 www.pepperlaw.com

Date:

March 8, 2005

ID Number:

61297

Identifier:

129402.00201

Recipient's Name

Company

General Number

Fax Number

USPTO

703-872-9306

Sender:

Raymond A. Miller

Sender's Direct Line:

412.454.5017

Sender's Email Address:

millerra@pepperlaw.com

Total Pages Including Cover:

Comments: ATTACHED IS A PRELIMINARY AMENDMENT SERIAL NO. 10/511,657

An original or a copy has [ ] or has not [ ✓] been sent to you by mail [ ] or by overnight service [ ] or by email [ ].

→ → If total pages are not received, or an error occurred during this transmission, please call the sender at the direct line listed above. + +

## → CONFIDENTIALITY NOTE → →

The documents accompanying this facsimile transmission contain information from the law firm of Pepper Hamilton LLP which is confidential and/or legally privileged. The information is intended only for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or the taking of any action in reliance on the contents of this faxed information is strictly prohibited, and that the documents should be returned to this Firm immediately. In this regard, if you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us at no cost to you.

Opei	BLOF	s use	Only

Start Time:

am[]pm[]

End Time:

am[]pm[]

Operator: